

AUG. 28. 2003 5:41PM NIXON PEABODY LLP 5852631600

NO. 0295 P. 1

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From: Noreen Connolly	Date: August 28, 2003	No. of Pages: 6 (including this page)
Comments: RE: Applicants : Ray et al. Serial No. : 09/590,968 Cnfrm. No. : 2086 Filed : June 9, 2000 For : GENE ENCODING SHORT INTEGUMENTS AND USES THEREOF Copies of Notice of Appeal w/ 3 mo. Extension of time filed 7/07/03 and stamped post card in receipt of same. N. Connolly		

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UTILITY/DESIGN PATENT

(amend/final amend)

Date: 7/7/03Date of O.A.: 1/8/03

Rec'd in the U.S. Patent & Trademark Office on the date stamped hereon

via Certificate of Mail:

Case # 176/60581S/N: 09/590,968Filed: June 9, 2000

Pat. #

Issued:

Art Unit: 1635Examiner: J. ZeraAtty: N. Connelly

Batch:

Applicant: Ray et al.Title: GENE ENCODING SHORT INTEGRUMENTS AND USES THEREOF

Re-Exam Control #

VERIFIED BY: Asst: SAW

Quality Control:

☒ Transmittal Sheet in duplicate☐ Amendment in☐ Mo. Ext. Time in dupe.☐ Change of Address☐ Request for Drawing Changes☐ Small Entity Statement☐ pgs. Drawings☐ Assignment in☒ \$ 625 Check for Notice of Appeal 3 months☐ \$Check for Trade Mark Office☐ Issue Per Trans. in duplicate☐ \$

Issue Per/Soft Copies

☐ Information Disclosure Statement: PTO: 1449 in duplicate with

Reference(s)

☒ Fee Transmittal (in duplicate)☒ Notice of Appeal + Request for Three Month Extension of Time (in duplicate)

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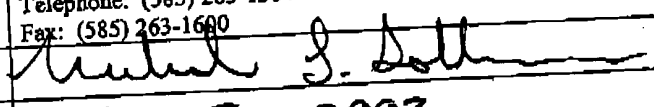
FILE


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176/60581YRC

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/590,968	
	Filing Date	June 9, 2000	
	First Named Inventor	Animesh Ray	
	Group Art Unit	1635	
	Examiner Name	Jane Zara	
Total Number of Pages in This Submission	3	Attorney Docket Number	176/60581 (1-11027-845)

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> A copy of the Notice to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Request for Corrected Filing Receipt with Enclosures <input checked="" type="checkbox"/> A self-addressed, prepaid postcard for acknowledging receipt <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Notice of Appeal and Request for Three-Month Extension of Time (1 page)
Remarks	<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 14-1138 for the above identified docket number.	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Michael L. Goldman, Esq. Nixon Peabody LLP Clinton Square, P.O. Box 31051 Rochester, New York 14603-1051 Telephone: (585) 263-1304 Fax: (585) 263-1600
Signature	
Date	July 7, 2003

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(703) _____ 7/7/03 Date	 Signature Cheryl S. Mascitti Typed or printed name

FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 625

Complete if Known

Application Number 09/590,968

Filing Date June 9, 2000

First Named Inventor Animesh Ray

Examiner Name Jane Zara

Art Unit 1635

Attorney Docket No. 176/60581 (1-11027-845)

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ Note

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FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
20**	X		0
Independent Claims	3**	X	0
Multiple Dependent	X		0

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
1051	130	2051	65	Surcharge - late filing fee or oath
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet
1053	130	2053	130	Non-English specification
1812	2,520	2812	2,520	For filing a request for ex parte reexamination
1804	920*	2804	920*	Requesting publication of SIR prior to Examiner action
1805	1,840*	2805	1,840*	Requesting publication of SIR after Examiner action
1251	110	2251	55	Extension for reply within first month
1252	410	2252	205	Extension for reply within second month
1253	930	2253	465	Extension for reply within third month
1254	1,450	2254	725	Extension for reply within fourth month
1255	1,970	2255	985	Extension for reply within fifth month
1401	320	2401	160	Notice of Appeal
1402	320	2402	160	Filing a brief in support of an appeal
1403	280	2403	140	Request for oral hearing
1451	1,510	2451	1,510	Petition to institute a public use proceeding
1452	110	2452	55	Petition to revive - unavoidable
1453	1,300	2453	650	Petition to revive - unintentional
1501	1,300	2501	650	Utility issue fee (or reissue)
1502	470	2502	235	Design issue fee
1503	630	2503	315	Plant issue fee
1460	130	2460	130	Petitions to the Commissioner
1807	50	2807	50	Processing fee under 37 CFR 1.17(q)
1806	180	2806	180	Submission of Information Disclosure Stmt
8021	40	28021	40	Recording each patent assignment per property (times number of properties)
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))
1801	750	2801	375	Request for Continued Examination (RCE)
1802	900	2802	900	Request for expedited examination of a design application

Other fee (specify) _____

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SUBTOTAL (3) (\$) 625

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Cheryl S. Mascitti
Signature
Cheryl S. Mascitti
Typed or printed name

SUBMITTED BY

Name (Print/Type) Michael L. Goldman

Signature

Registration No. (Attorney/Agent)

30,727

Complete (if applicable)

Telephone (585) 263-1304

Date

July 7, 2003

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